


BAKER BOTTS LLP

10-10-00

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Please type a plus sign (+) inside this box → UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. A33882-007220.0135
 First Inventor Michael Waring
 Title CHEMICAL * see attached
 Express Mail Label No. EL866934929US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification
(ordered arrangement set forth below)
 - Descriptive title of the invention [Total Pages 22]
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 Detailed Description
☒ Claim(s) [Total Sheets 5]
☒ Abstract of the Disclosure [Total Sheets 1]
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages]
 a. ☐ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 i. ☐ **DELETION OF INVENTOR(S)**
*Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b)*
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
 11. ☐ English Translation Document (if applicable)
 12. ☐ Information Disclosure ☐ Copies of IDS
 Statement (IDS)/PTO-1449 Citations
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 16. ☒ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
 or its equivalent.
 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
 or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.

Prior application information: Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
 Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 21003
(Insert Customer No. or attach bar code label here) or ☐ Correspondence address below

Name

Address

City State Zip Code

Country Telephone Fax

Name (Print/Type) Louis S. Sorell Registration No. (Attorney/Agent) 32,439
 Signature Date 10-9-01

10/09/01

JC996 U.S. PTO

JC996 U.S. PTO

10/09/01

BAKER BOTTS LLP**Addendum Sheet 1****Att Docket #:** A33882-007220.0135***Question 4 Addendum**4.a. Drawings are ☐ formal ☒ informal*** Question 5 Addendum**5.c. ☐ An unsigned oath or declaration is included.*** Question 8 Addendum**8.d. ☐ A sequence submission will follow.*** Question 9 Addendum**9.a. ☒ Assignment documents will follow.9.b. ☐ Assignment documents have been filed in parent application No.*** Question 11 Addendum**11.a ☐ English translation will follow.*** Question 12 Addendum**12.a ☐ Copies of IDS citations will follow.*** Question 15 Addendum**15.a ☐ Certified copies of priority documents will follow.15.b ☐ Certified copies of priority documents have been filed in parent application No.

Use the space below for additional information

Title (continued):

CHEMICAL PROCESSING SYSTEM

BAKER BOTTS LLP

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 908

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Michael Waring
Examiner Name	
Group Art Unit	
*Attorney Docket No.	A33882-007220.0135

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **02-4377**
- Deposit Account Name **Baker Botts LLP**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
740	370			Utility filing fee	740
330	165			Design filing fee	
510	255			Plant filing fee	
740	370			Reissue filing fee	
160	80			Provisional filing fee	

SUBTOTAL (1) (\$ 740)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15	20	0	0
5	3	2	168
Multiple Dependent			

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description
18	9			Claims in excess of 20
84	42			Independent claims in excess of 3
280	140			Multiple dependent claim, if not paid
84	42			** Reissue independent claims over original patent
18	9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 168)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
130	65			Surcharge - late filing fee or oath	
50	25			Surcharge - late provisional filing fee or cover sheet	
130	130			Non-English specification	
2,520	2,520			For filing a request for <i>ex parte</i> reexamination	
920*	920*			Requesting publication of SIR prior to Examiner action	
1,840*	1,840*			Requesting publication of SIR after Examiner action	
110	55			Extension for reply within first month	
400	200			Extension for reply within second month	
920	460			Extension for reply within third month	
1,440	720			Extension for reply within fourth month	
1,960	980			Extension for reply within fifth month	
320	160			Notice of Appeal	
320	160			Filing a brief in support of an appeal	
280	140			Request for oral hearing	
1,510	1,510			Petition to institute a public use proceeding	
110	55			Petition to revive - unavoidable	
1,280	640			Petition to revive - unintentional	
1,280	640			Utility issue fee (or reissue)	
460	230			Design issue fee	
620	310			Plant issue fee	
130	130			Petitions to the Commissioner	
50	50			Processing fee under 37 CFR 1.17(a)	
180	180			Submission of Information Disclosure Stmt	
40	40			Recording each patent assignment per property (times number of properties)	
740	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370			For each additional invention to be examined (37 CFR § 1.129(b))	
740	370			Request for Continued Examination (RCE)	
900	900			Request for expedited examination of a design application	

Other fee (specify) _____

**for number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print Type)	Louis S. Sorell	Registration No. (Attorney/Agent)	32,439	Complete (if applicable)	Telephone	212-408-2620
Signature		Date	10-9-01			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

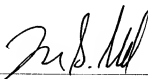
BAKER BOTTS LLP**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Michael Waring		
Title	CHEMICAL PROCESSING SYSTEM *See Attached		
Atty Docket Number	A33882-007220.0135		

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10-9-01

Date



Signature

Louis S. Sorell

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

BAKER BOTTS LLP

Attorney Docket Number: A33882-007220.0135

Title:

CHEMICAL PROCESSING SYSTEM

Use Space Below for Additional Information: